

05-02-01

A

Please type a plus sign (+) inside this box → ☐

PTO/SB/05 (08-00) (modified)

Approved for use through 9/30/2001, OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

NEW UTILITY PATENT APPLICATION TRANSMITTAL <i>(only for new nonprovisional applications under 37 CFR 1.53(b))</i>	Attorney Docket Number	22227-04647
	First Named Inventor	Ted E. Dunning
	Title	Relationship Discovery Engine
	Express Mail Label No.	EL482471353US

APPLICATION ELEMENTS	ACCOMPANYING APPLICATION PARTS
1. <input checked="" type="checkbox"/> Fee Transmittal Form (in duplicate) 2. <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27 3. <input checked="" type="checkbox"/> Specification <i>Total Pages</i> <input type="text" value="122"/> <i>(preferred arrangement set forth below)</i> <input type="checkbox"/> Descriptive Title of the Invention <input type="checkbox"/> Cross Reference(s) to Related Case(s) <input type="checkbox"/> Statement Regarding Fed sponsored R & D <input type="checkbox"/> Background of the Invention <input type="checkbox"/> Brief Summary of the Invention <input type="checkbox"/> Brief Description of the Drawing(s) <input type="checkbox"/> Detailed Description <input type="checkbox"/> Claim or Claims <input type="checkbox"/> Abstract of the Disclosure 4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. <i>Total Sheets</i> <input type="text" value="52"/> 113) 5. Oath or Declaration a. <input checked="" type="checkbox"/> New Declaration <i>Total Pages</i> <input type="text" value="1"/> <input type="checkbox"/> Executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) <i>(for continuation/divisional with Box 18 completed)</i> i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). 6. <input checked="" type="checkbox"/> Application Data Sheet. See 37 CFR 1.76	7. <input type="checkbox"/> Assignment Papers (cover sheet & document(s)) 8. <input type="checkbox"/> Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i> 9. <input type="checkbox"/> Power of Attorney or Authorization of Agent 10. <input type="checkbox"/> 37 CFR 3.73(b) Statement 11. <input type="checkbox"/> Preliminary Amendment 12. <input type="checkbox"/> Information Disclosure Statement & PTO-1449 <input type="checkbox"/> Copies of IDS Citation(s) 13. <input type="checkbox"/> Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent 14. <input checked="" type="checkbox"/> Return Postcard 15. <input type="checkbox"/> 16. <input type="checkbox"/> 17. <input type="checkbox"/>
ADDRESS TO: Box Patent Application Commissioner for Patents Washington, D.C. 20231	


18. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information below and in a preliminary amendment or in an Application Data Sheet under 37 CFR 1.76:

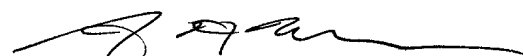
☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: ____/____

Prior application information: Examiner: _____ Group/Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuing or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number and Bar Code Label <div style="text-align: center;">  00758 </div>
--

Name (Print/Type)	Amir H. Raubvogel	Registration No. (Attorney/Agent)	37,070
Signature		Date	April 30, 2001

22227/04647/DOCS/1168115.1

04/30/01
jc985 U.S. PTO

04/30/01
jc985 U.S. PTO

04/30/01
jc985 U.S. PTO
097846823

0002/PTO(modified) Rev. 10/2000	U.S. Department of Commerce Patent and Trademark Office	Complete if Known	
FEE TRANSMITTAL TOTAL AMOUNT OF PAYMENT Subtotal (1) + Subtotal (2) + Subtotal (3) = (\$ 1,128.00)		Application Number	Not yet known
		Filing Date	April 30, 2001
		First Named Inventor	Ted E. Dunning
		Group Art Unit	Not yet known
		Examiner Name	Not yet known
		Attorney Docket Number	22227-04647

METHOD OF PAYMENT	FEE CALCULATION (continued)
--------------------------	------------------------------------


<p>1. The Commissioner is hereby authorized to:</p> <p><input type="checkbox"/> Charge the indicated fees to the below mentioned deposit account.</p> <p><input checked="" type="checkbox"/> Charge any additional fee required under 37 CFR 1.16 - 1.21 or credit any over payments to the below mentioned deposit account. [†]</p> <p><input type="checkbox"/> Applicant claims small entity status See 37 CFR 1.27</p> <p>Deposit Account Number: 19-2555 Deposit Account Name: FENWICK & WEST LLP</p> <p><input checked="" type="checkbox"/> Duplicate Copy of this authorization is attached</p> <p><input checked="" type="checkbox"/> Payment Enclosed: <input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Other</p> <p>FEE CALCULATION (fees effective 10/01/2000)</p> <table border="1" style="width:100%; border-collapse: collapse;"> <tr> <th>Large Entity Fee Code/Fee</th> <th>Small Entity Fee Code/Fee</th> <th>Fee Description</th> <th>Fee Due</th> </tr> <tr> <td>101/\$710</td> <td>201/\$355</td> <td>Utility Filing</td> <td>355</td> </tr> <tr> <td>106/\$320</td> <td>206/\$160</td> <td>Design Filing</td> <td></td> </tr> <tr> <td>108/\$710</td> <td>208/\$355</td> <td>Reissue</td> <td></td> </tr> <tr> <td>114/\$150</td> <td>214/\$75</td> <td>Provisional Filing</td> <td></td> </tr> <tr> <td colspan="3" style="text-align: right;">SUBTOTAL (1)</td> <td>(\$ 355.00)</td> </tr> </table> <p>2. CLAIMS</p> <table border="1" style="width:100%; border-collapse: collapse;"> <tr> <th>Large Entity Fee Code/Fee</th> <th>Small Entity Fee Code/Fee</th> <th>Fee Description</th> </tr> <tr> <td>103/\$18</td> <td>203/\$9</td> <td>Claims in excess of 20</td> </tr> <tr> <td>102/\$80</td> <td>202/\$40</td> <td>Independent claims in excess of 3</td> </tr> <tr> <td>104/\$270</td> <td>204/\$135</td> <td>Multiple dependent claim</td> </tr> <tr> <td>109/\$80</td> <td>209/\$40</td> <td>Reissue independent claims over original patent</td> </tr> <tr> <td>110/\$18</td> <td>210/\$9</td> <td>Reissue claims in excess of 20 and over original patent</td> </tr> </table>	Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description	Fee Due	101/\$710	201/\$355	Utility Filing	355	106/\$320	206/\$160	Design Filing		108/\$710	208/\$355	Reissue		114/\$150	214/\$75	Provisional Filing		SUBTOTAL (1)			(\$ 355.00)	Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description	103/\$18	203/\$9	Claims in excess of 20	102/\$80	202/\$40	Independent claims in excess of 3	104/\$270	204/\$135	Multiple dependent claim	109/\$80	209/\$40	Reissue independent claims over original patent	110/\$18	210/\$9	Reissue claims in excess of 20 and over original patent	<p>3. ADDITIONAL FEES</p> <table border="1" style="width:100%; border-collapse: collapse;"> <tr> <th>Large Entity Fee Code/Fee</th> <th>Small Entity Fee Code/Fee</th> <th>Fee Description</th> <th>Fee Due</th> </tr> <tr> <td>105/\$130</td> <td>205/\$65</td> <td>Surcharge - late filing fee or oath</td> <td></td> </tr> <tr> <td>127/\$50</td> <td>227/\$25</td> <td>Surcharge-late provisional filing fee or cover sheet</td> <td></td> </tr> <tr> <td>147/\$2,520</td> <td>147/\$2,520</td> <td>For filing a request for reexamination</td> <td></td> </tr> <tr> <td>115/\$110</td> <td>215/\$55</td> <td>Extension for response within first month[†]</td> <td></td> </tr> <tr> <td>116/\$390</td> <td>216/\$195</td> <td>Extension for response within second month[†]</td> <td></td> </tr> <tr> <td>117/\$890</td> <td>217/\$445</td> <td>Extension for response within third month[†]</td> <td></td> </tr> <tr> <td>118/\$1,390</td> <td>218/\$695</td> <td>Extension for response within fourth month[†]</td> <td></td> </tr> <tr> <td>128/\$1,890</td> <td>228/\$945</td> <td>Extension for response within fifth month[†]</td> <td></td> </tr> <tr> <td>119/\$310</td> <td>219/\$155</td> <td>Notice of Appeal</td> <td></td> </tr> <tr> <td>141/\$1,240</td> <td>241/\$620</td> <td>Petition to revive unintentionally abandoned application</td> <td></td> </tr> <tr> <td>142/\$1,240</td> <td>242/\$620</td> <td>Utility Issue Fee (Or Reissue)</td> <td></td> </tr> <tr> <td>143/\$440</td> <td>243/\$220</td> <td>Design Issue Fee</td> <td></td> </tr> <tr> <td>122/\$130</td> <td>122/\$130</td> <td>Petitions to the Commissioner</td> <td></td> </tr> <tr> <td>126/\$180</td> <td>126/\$180</td> <td>Submission of Information Disclosure Statement</td> <td></td> </tr> <tr> <td>179/\$710</td> <td>279/\$355</td> <td>Request for Continued Examination (RCE)</td> <td></td> </tr> <tr> <td>581/\$40</td> <td>581/\$40</td> <td>Recording each patent assignment per property (times number of properties)</td> <td></td> </tr> <tr> <td>146/\$710</td> <td>246/\$355</td> <td>Filing a submission after final rejection (37 CFR 1.129(a))</td> <td></td> </tr> <tr> <td>149/\$710</td> <td>249/\$355</td> <td>For each additional invention to be examined (37 CFR 1.129(b))</td> <td></td> </tr> <tr> <td colspan="3">Other fee (specify):</td> <td></td> </tr> <tr> <td colspan="3">Other fee (specify):</td> <td></td> </tr> <tr> <td colspan="3" style="text-align: right;">SUBTOTAL (3)</td> <td>(\$ 0.00)</td> </tr> </table>	Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description	Fee Due	105/\$130	205/\$65	Surcharge - late filing fee or oath		127/\$50	227/\$25	Surcharge-late provisional filing fee or cover sheet		147/\$2,520	147/\$2,520	For filing a request for reexamination		115/\$110	215/\$55	Extension for response within first month [†]		116/\$390	216/\$195	Extension for response within second month [†]		117/\$890	217/\$445	Extension for response within third month [†]		118/\$1,390	218/\$695	Extension for response within fourth month [†]		128/\$1,890	228/\$945	Extension for response within fifth month [†]		119/\$310	219/\$155	Notice of Appeal		141/\$1,240	241/\$620	Petition to revive unintentionally abandoned application		142/\$1,240	242/\$620	Utility Issue Fee (Or Reissue)		143/\$440	243/\$220	Design Issue Fee		122/\$130	122/\$130	Petitions to the Commissioner		126/\$180	126/\$180	Submission of Information Disclosure Statement		179/\$710	279/\$355	Request for Continued Examination (RCE)		581/\$40	581/\$40	Recording each patent assignment per property (times number of properties)		146/\$710	246/\$355	Filing a submission after final rejection (37 CFR 1.129(a))		149/\$710	249/\$355	For each additional invention to be examined (37 CFR 1.129(b))		Other fee (specify):				Other fee (specify):				SUBTOTAL (3)			(\$ 0.00)
Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description	Fee Due																																																																																																																																
101/\$710	201/\$355	Utility Filing	355																																																																																																																																
106/\$320	206/\$160	Design Filing																																																																																																																																	
108/\$710	208/\$355	Reissue																																																																																																																																	
114/\$150	214/\$75	Provisional Filing																																																																																																																																	
SUBTOTAL (1)			(\$ 355.00)																																																																																																																																
Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description																																																																																																																																	
103/\$18	203/\$9	Claims in excess of 20																																																																																																																																	
102/\$80	202/\$40	Independent claims in excess of 3																																																																																																																																	
104/\$270	204/\$135	Multiple dependent claim																																																																																																																																	
109/\$80	209/\$40	Reissue independent claims over original patent																																																																																																																																	
110/\$18	210/\$9	Reissue claims in excess of 20 and over original patent																																																																																																																																	
Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description	Fee Due																																																																																																																																
105/\$130	205/\$65	Surcharge - late filing fee or oath																																																																																																																																	
127/\$50	227/\$25	Surcharge-late provisional filing fee or cover sheet																																																																																																																																	
147/\$2,520	147/\$2,520	For filing a request for reexamination																																																																																																																																	
115/\$110	215/\$55	Extension for response within first month [†]																																																																																																																																	
116/\$390	216/\$195	Extension for response within second month [†]																																																																																																																																	
117/\$890	217/\$445	Extension for response within third month [†]																																																																																																																																	
118/\$1,390	218/\$695	Extension for response within fourth month [†]																																																																																																																																	
128/\$1,890	228/\$945	Extension for response within fifth month [†]																																																																																																																																	
119/\$310	219/\$155	Notice of Appeal																																																																																																																																	
141/\$1,240	241/\$620	Petition to revive unintentionally abandoned application																																																																																																																																	
142/\$1,240	242/\$620	Utility Issue Fee (Or Reissue)																																																																																																																																	
143/\$440	243/\$220	Design Issue Fee																																																																																																																																	
122/\$130	122/\$130	Petitions to the Commissioner																																																																																																																																	
126/\$180	126/\$180	Submission of Information Disclosure Statement																																																																																																																																	
179/\$710	279/\$355	Request for Continued Examination (RCE)																																																																																																																																	
581/\$40	581/\$40	Recording each patent assignment per property (times number of properties)																																																																																																																																	
146/\$710	246/\$355	Filing a submission after final rejection (37 CFR 1.129(a))																																																																																																																																	
149/\$710	249/\$355	For each additional invention to be examined (37 CFR 1.129(b))																																																																																																																																	
Other fee (specify):																																																																																																																																			
Other fee (specify):																																																																																																																																			
SUBTOTAL (3)			(\$ 0.00)																																																																																																																																

(Col. 1)		(Col. 2)		(Col. 3)					
For	No. of Existing Claims	minus*	Highest No. Previously Paid For	=	Extra**	x	Fee	=	Fee Due
TOTAL	97	minus*	20 or 0	=	77	x	9	=	693
INDEP	5	minus*	3 or 0	=	2	x	40	=	80
[] First presentation of multiple dependent claim									

* Subtract the greater number of Col. 2

** If the difference between Col. 1 and Col. 2 is less than zero, then enter "0" in Col. 3

SUBTOTAL (2) (\$ 773)

SUBMITTED BY		Complete (if applicable)	
Typed or Printed Name	Amir H. Raubvogel	Reg. Number	37,070
Signature		Date	April 30, 2001

22227/04647/DOCS/1168213.1